# **Exchanging between symmetric circular formations of moving particles** Vander L. S. Freitas<sup>1</sup>, Serhiy Yanchuk<sup>2</sup>, Michael A. Zaks<sup>3</sup>, Elbert E. N. Macau<sup>1,4</sup>

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#### Introduction

Collective motion:



Applications:



$$U_m(\boldsymbol{\theta}) = \frac{N}{2} |p_{m\theta}|^2.$$
(8)

**Theorem 1.** Let  $1 \le M \le N$  be a divisor of N. Then  $\theta \in \mathbb{T}$  forms M symmetric clusters if and only if it is a global minimum of the potential

$$U^{M,N}(\boldsymbol{\theta}) = \sum_{m=1}^{M} K_m U_m \tag{9}$$

with  $K_m > 0$  for  $m = \{1, \dots, M-1\}$ ,  $K_M < 0$  (Proof in [4]).

$$-\frac{\partial U^{M,N}}{\partial \theta_k} = \frac{1}{N} \sum_{m=1}^M \sum_{j=1}^N \frac{K_m}{m} \sin(m(\theta_k - \theta_j)).$$
(10)

Example with  $M_f = 3$  and  $M_t = 2$ : We start the system with 3 symmetric clusters and start the simulation for M = 2 clusters. The transition only works if this initial condition is strongly perturbed as shown below:



Solution: Suppress the previous configuration with the inclusion

Objectives:

- Particles with coupled oscillator dynamics in symmetric circular formations [1, 3, 2, 4].
- Changing from one cluster configuration to another.

# **Particles with coupled oscillator dynamics**

N self-propelled particles in the plane with unitary speed [2]:

 $\dot{r_k} = e^{i\theta_k}$  (1a)  $\dot{\theta_k} = u_k(\pmb{r}, \pmb{\theta})$  (1b)

(2)

(5)

(6)

(7)

for k = 1, ..., N. Position:  $r_k = x_k + iy_k \in \mathbb{C}$ . Direction of the speed vector:  $e^{i\theta_k} = \cos \theta_k + i \sin \theta_k$ . Phase (heading angle):  $\theta_k \in \mathbb{R}$ .  $\boldsymbol{r} \doteq (r_1, ..., r_N)^T \in \mathbb{C}^N, \boldsymbol{\theta} \doteq (\theta_1, ..., \theta_N)^T \in \mathbb{T}^N$ .

Rotation center of particle k, when  $\dot{\theta}_k = \omega_0$ :

$$c_{k} \doteq r_{k} + \omega_{0}^{-1} i e^{i\theta_{k}}.$$

$$u_k = \omega_0 (1 + K_0 \left\langle e^{i\theta_k}, P_k \boldsymbol{c} \right\rangle) - \frac{\partial \partial}{\partial \theta_k}.$$
 (11)

Model (1) with control (11) and configuration: N = 6,  $\omega_0 = 0.05$ , K = 0.1,  $K_m = 0.18$  for m < M and  $K_M = -0.02$ :



of a new term into the potential (9):

$$U_{M_f}^{M_t,N} := U^{M_t,N} + \frac{N}{2} \delta(M_f, M_t) K_1 |p_{M_f\theta}|^2, \qquad (12)$$

where

$$\delta(M_f, M_t) = \begin{cases} 1, \ M_f > M_t \text{ and } M_f / M_t \notin \mathbb{N} \\ 0, & \text{otherwise} \end{cases}.$$
(13)

Here  $K_m > 0$  for  $m = \{1, 2, \dots, M_t - 1\}$ ,  $K_1 > 0$  and  $K_m < 0$  for  $m = M_t$ . The new gradient reads

$$-\frac{\partial U_{M_f}^{M_t,N}}{\partial \theta_k} = \frac{1}{N} \sum_{m=1}^{M_t} \sum_{j=1}^{N} \frac{K_m}{m} \sin(m(\theta_k - \theta_j)) + \delta \frac{1}{N} \sum_{j=1}^{N} \frac{K_1}{M_f} \sin(M_f(\theta_k - \theta_j)), \quad (14)$$

and the control

$$u_{k}(\boldsymbol{r},\boldsymbol{\theta}) = \omega_{0}(1 + K_{0}\left\langle e^{i\theta_{k}}, P_{k}\boldsymbol{c}\right\rangle) - \frac{\partial U_{M_{f}}^{M_{t},N}}{\partial\theta_{k}}.$$
 (15)

Time series of Order Parameters for model (1) with  $M_t = 2$  clusters, starting from a  $M_f = 3$  clusters configuration: (a) Control (11); (b) Control (15):





We are interested in particles sharing the same rotation center, i.e.,  $c_1 = c_2 = \cdots = c_N$ . It happens when Pc = 0, with  $P = I_N - \frac{1}{N} \mathbf{11}^T$ , for  $I_N$  being the  $N \times N$  identity matrix,  $\mathbf{1} = (1, \cdots, 1)$  and  $c = (c_1, \cdots, c_N)$ . The aim is to minimize the following potential:

$$S(\boldsymbol{r},\boldsymbol{\theta}) = \frac{1}{2} \parallel P\boldsymbol{c} \parallel^2, \tag{3}$$

whose gradient is

$$\dot{S} = \langle \dot{\boldsymbol{c}}, P\boldsymbol{c} \rangle = \omega_0^{-1} \sum_{j=1}^{N} (\omega_0 - u_j) \left\langle e^{i\theta_j}, P_j \boldsymbol{c} \right\rangle, \quad (4)$$

This inner product is defined as  $\langle z_1, z_2 \rangle = \text{Re}\{z_1^*z_2\}$ , for  $z_1, z_2 \in \mathbb{C}$ , and  $z_1^*$  is the conjugate of the complex number  $z_1$ . Choosing:

$$u_k = \omega_0 (1 + K_0 \left\langle e^{i\theta_k}, P_k \boldsymbol{c} \right\rangle)$$

leads to

$$\dot{S} = -K_0 \sum_{j=1}^{N} \left\langle e^{i\theta_j}, P_j \boldsymbol{c} \right\rangle^2 \le 0.$$

Now, consider the m-th phase order parameter:

## **Exchanging between formations**

Aim: Go from a  $M_f$  clusters configuration to  $M_t$  clusters. The transition works perfectly in the following two situations:

• I)  $M_f < M_t;$ 

• II)  $M_f > M_t$  when  $(M_f/M_t) \in \mathbb{N}$ .





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with  $0 \le |p_{m\theta}| \le 1/m$ , which produces the phase potential:

**Problem**: In some cases, the configuration with  $M_f$  clusters may be a local minimum of the  $M_t$  so the transition never happens.

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